Field	Value
Testing Organization Name	AppEsteem
Test Plan Name	AppEsteem UwS Handling Certification Test Plan for 2024 [AMTSO-LS1-TP108]
Compliance Assessors	Scott Jeffreys
Compliance Assessment Date	December 4th, 2024
Report Summary and Commentary	 Phase 2 Commentary and additional e-mails were received from four (3) test subject vendors (Avast/Gen, K7, TGSoft) covering four (4) products. No issues were raised during this collection period. AMTSO was informed that Avira also raised no issues.
	2. This reporting period covered activity from June 2024 through October 2024.
	3. This check on the annual test series is confirmed compliant with AMTSO Standard V1.3.
Notifications	 Criterion 1.1: Contact information is current and valid in the AMTSO managed contact list. Criterion 1.2: Participants have been notified regarding this Test through Public Notification or Direct Contact.
	 Criterion 1.3: The Test Plan is included with the notification sent to Participants.
	• Criterion 1.4: The Test Plan is available to all potential Test Subject Vendors upon request.
	• Criterion 1.5: The Test Plan is available on the AMTSO web site for a Public Test.
	• Criterion 1.6: The Test Plan is distributed within the time line requirements prior to the Test Commencement Date.
Test Plan Content	• Criterion 2.1: The Test Plan includes a Statement of Intention to follow the AMTSO Testing Protocol Standards.
	• Criterion 2.2: The Test Plan includes a Statement of Purpose indicating the criteria for the product types and threats to be used in the

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	 Test. Criterion 2.3: The Test Plan includes the Test Commencement date(s) Criterion 2.4: The Test Plan includes a Test Schedule with key Participant dates including periods for product configuration consultation
	• Criterion 2.5: A Test Methodology describing the process used to execute the Test and Test Plan is defined.
	 Criterion 2.6: The Test Plan details the Test Environment and what said Environment aims to represent.
	• Criterion 2.7: The Test Plan includes a policy on reporting product version information.
	• Criterion 2.8: The Test Plan details how the test will be scored and how (if any) certifications given will be measured.
	• Criterion 2.9: The Test Plan provides any dispute process details.
	• Criterion 2.10: The Test Plan details the Sample acquisition and selection process for use in this Test.
	• Criterion 2.11: The Test Plan details how Samples will be validated and classified.
	• Criterion 2.12: If potential Test Subject Vendors are given the option not to participate in the Test, then the opt-out option is honored if requested.
	 Criterion 2.13: The Test Plan provides high-level details on the sample provenance and Sample Curation strategy.
	 Criterion 2.14: The Test Plan provides a description as to how Curated Sample feedback is solicited and processed.
	 Criterion 2.15: The Test Plan defines whether Test Subject Vendor participation in Sample Curation is restricted to Participants.
	• Criterion 2.16: The Test Plan defines whether a Vendor can opt-out of a Public Test and if permitted explains how that process works.
	• Criterion 2.17: The Test Plan provides instructions for potential Participants to provide advanced configuration details.
Voluntary Participation	 Criterion 3.1: All Test Subject Vendors are given the option to become official Participants.
	• Criterion 3.2: All Participants are notified which products and services

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	 were being included in the Test. Criterion 3.3: All Participants are given the opportunity to review the configuration of their products in the Test Environment on request. Criterion 3.4: All Participants are given the opportunity to provide commentary on the Test. Criterion 3.5: All Participants are given the opportunity to complete a Participation Attestation. Criterion 3.6: All Participants are asked to disclose any unlicensed third-party intellectual material prior to the Product being tested.
Testing Process	 Criterion 4.1: All product logs, tested configurations, and environmental details generated during the Test are retained until all disputes are resolved. Criterion 4.2: Participants are contacted prior to the Test's completion when their product(s) are suspected of malfunctioning. Criterion 4.3: Participants are notified of the Test's completion with performance feedback and Test records made available for review. Criterion 4.4: Participants are given the opportunity to review their product configurations at the Test's completion on request.
Test Report and Feedback	 Criterion 5.1: Test results are presented in a clear, understandable format. Criterion 5.2: The Test Report includes the tested product names and version information. Criterion 5.3: The Test Report discloses any additional products or services related to this Test which were made available to Participants. Criterion 5.4: The Test Report includes a reference to the Test Plan. Criterion 5.5: The Test Report includes details of what Tests were run including the dates and times. Criterion 5.6: The Test Report provides details of how the results can be used. Criterion 5.7: The Test Report includes specific scores or certifications awarded. Criterion 5.8: The Test Report includes a link to the AMTSO web site

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Attestations	 where commentary or additional information may be found. Criterion 5.9: If any disputes extend beyond the Test Report's publication, then subsequent updates are made in a clear and timely manner. Criterion 5.10: Participants are afforded the opportunity to audit their solution configuration and attach commentary to the Test covering the Test itself and the specific solution's results. Criterion 5.11: Test Subject Vendors other than Participants are given the chance to attach commentary to the Test explaining why said Test Subject Vendor did not adopt Participant status. Criterion 6.1: The Tester may charge for involvement in a Test, but may not charge Test Subject Vendors additional fees to adopt Participant status.
	 Criterion 6.2: Any material conflicts of interest that could impact the Test's reliability have been disclosed to AMTSO and as part of the Test Report. Criterion 6.3: All products included in your AMTSO Accredited Test are fairly and equally analyzed. Criterion 6.4: Any anticipated inequity in your test design is disclosed to all Participants. Criterion 6.5: Details disclosing how the test was funded are available.