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Testing Organization Name	AppEsteem
Test Plan Name	AppEsteem UwS Handling Certification Test Plan for 2024 [AMTSO-LS1-TP108]
Compliance Assessors	Scott Jeffreys
Compliance Assessment Date	June 27th, 2024
Report Summary and Commentary	<ol style="list-style-type: none"> 1. Phase 1 Commentary and additional e-mails were received from four (4) test subject vendors (Avast/Gen, K7, Kaspersky, TGSoft) covering five (5) products. No issues were raised during this collection period. AMTSO was informed verbally that a sixth product (Avira) also raised no issues. 2. Incremental Phase 2 Commentary collection covered the monthly testing from February 2024 through May 2024. The collection closed on Thursday, June 27th, 2024. Reports were received from three (3) test subject vendors (Avast/Gen, K7, TGSoft) covering four (4) products without any issues having been raised. 4. This incremental check on the annual test series is confirmed compliant with AMTSO Standard V1.3.
Notifications	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criterion 1.1: Contact information is current and valid in the AMTSO managed contact list. • <input checked="" type="checkbox"/> Criterion 1.2: Participants have been notified regarding this Test through Public Notification or Direct Contact. • <input checked="" type="checkbox"/> Criterion 1.3: The Test Plan is included with the notification sent to Participants. • <input checked="" type="checkbox"/> Criterion 1.4: The Test Plan is available to all potential Test Subject Vendors upon request. • <input checked="" type="checkbox"/> Criterion 1.5: The Test Plan is available on the AMTSO web site for a Public Test. • <input checked="" type="checkbox"/> Criterion 1.6: The Test Plan is distributed within the time line requirements prior to the Test Commencement Date.
Test Plan Content	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criterion 2.1: The Test Plan includes a Statement of Intention to

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follow the AMTSO Testing Protocol Standards.

- Criterion 2.2: The Test Plan includes a Statement of Purpose indicating the criteria for the product types and threats to be used in the Test.
- Criterion 2.3: The Test Plan includes the Test Commencement date(s).
- Criterion 2.4: The Test Plan includes a Test Schedule with key Participant dates including periods for product configuration consultation.
- Criterion 2.5: A Test Methodology describing the process used to execute the Test and Test Plan is defined.
- Criterion 2.6: The Test Plan details the Test Environment and what said Environment aims to represent.
- Criterion 2.7: The Test Plan includes a policy on reporting product version information.
- Criterion 2.8: The Test Plan details how the test will be scored and how (if any) certifications given will be measured.
- Criterion 2.9: The Test Plan provides any dispute process details.
- Criterion 2.10: The Test Plan details the Sample acquisition and selection process for use in this Test.
- Criterion 2.11: The Test Plan details how Samples will be validated and classified.
- Criterion 2.12: If potential Test Subject Vendors are given the option not to participate in the Test, then the opt-out option is honored if requested.
- Criterion 2.13: The Test Plan provides high-level details on the sample provenance and Sample Curation strategy.
- Criterion 2.14: The Test Plan provides a description as to how Curated Sample feedback is solicited and processed.
- Criterion 2.15: The Test Plan defines whether Test Subject Vendor participation in Sample Curation is restricted to Participants.
- Criterion 2.16: The Test Plan defines whether a Vendor can opt-out of a Public Test and if permitted explains how that process works.
- Criterion 2.17: The Test Plan provides instructions for potential Participants to provide advanced configuration details.

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Voluntary Participation	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criterion 3.1: All Test Subject Vendors are given the option to become official Participants. • <input checked="" type="checkbox"/> Criterion 3.2: All Participants are notified which products and services were being included in the Test. • <input checked="" type="checkbox"/> Criterion 3.3: All Participants are given the opportunity to review the configuration of their products in the Test Environment on request. • <input checked="" type="checkbox"/> Criterion 3.4: All Participants are given the opportunity to provide commentary on the Test. • <input checked="" type="checkbox"/> Criterion 3.5: All Participants are given the opportunity to complete a Participation Attestation. • <input checked="" type="checkbox"/> Criterion 3.6: All Participants are asked to disclose any unlicensed third-party intellectual material prior to the Product being tested.
Testing Process	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criterion 4.1: All product logs, tested configurations, and environmental details generated during the Test are retained until all disputes are resolved. • <input checked="" type="checkbox"/> Criterion 4.2: Participants are contacted prior to the Test's completion when their product(s) are suspected of malfunctioning. • <input checked="" type="checkbox"/> Criterion 4.3: Participants are notified of the Test's completion with performance feedback and Test records made available for review. • <input checked="" type="checkbox"/> Criterion 4.4: Participants are given the opportunity to review their product configurations at the Test's completion on request.
Test Report and Feedback	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criterion 5.1: Test results are presented in a clear, understandable format. • <input checked="" type="checkbox"/> Criterion 5.2: The Test Report includes the tested product names and version information. • <input checked="" type="checkbox"/> Criterion 5.3: The Test Report discloses any additional products or services related to this Test which were made available to Participants. • <input checked="" type="checkbox"/> Criterion 5.4: The Test Report includes a reference to the Test Plan. • <input checked="" type="checkbox"/> Criterion 5.5: The Test Report includes details of what Tests were run including the dates and times. • <input checked="" type="checkbox"/> Criterion 5.6: The Test Report provides details of how the results can be used.

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	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criterion 5.7: The Test Report includes specific scores or certifications awarded. • <input checked="" type="checkbox"/> Criterion 5.8: The Test Report includes a link to the AMTSO web site where commentary or additional information may be found. • <input checked="" type="checkbox"/> Criterion 5.9: If any disputes extend beyond the Test Report's publication, then subsequent updates are made in a clear and timely manner. • <input checked="" type="checkbox"/> Criterion 5.10: Participants are afforded the opportunity to audit their solution configuration and attach commentary to the Test covering the Test itself and the specific solution's results. • <input checked="" type="checkbox"/> Criterion 5.11: Test Subject Vendors other than Participants are given the chance to attach commentary to the Test explaining why said Test Subject Vendor did not adopt Participant status.
Attestations	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criterion 6.1: The Tester may charge for involvement in a Test, but may not charge Test Subject Vendors additional fees to adopt Participant status. • <input checked="" type="checkbox"/> Criterion 6.2: Any material conflicts of interest that could impact the Test's reliability have been disclosed to AMTSO and as part of the Test Report. • <input checked="" type="checkbox"/> Criterion 6.3: All products included in your AMTSO Accredited Test are fairly and equally analyzed. • <input checked="" type="checkbox"/> Criterion 6.4: Any anticipated inequity in your test design is disclosed to all Participants. • <input checked="" type="checkbox"/> Criterion 6.5: Details disclosing how the test was funded are available.